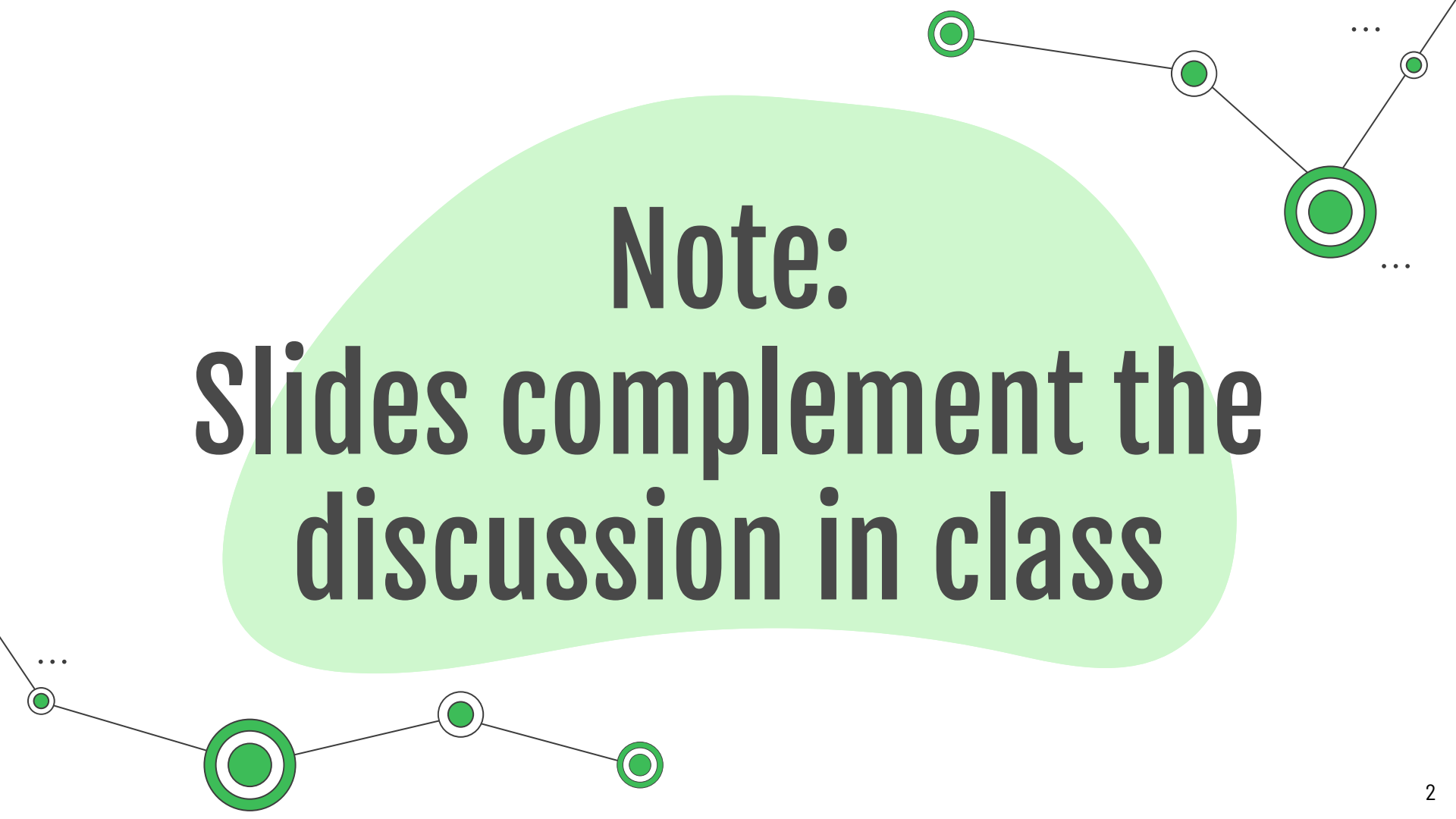


# More Linearithmic Sorting

CS 251 - Data Structures  
and Algorithms

A decorative network diagram consisting of several green circular nodes connected by thin black lines. Some nodes are single green circles, while others are double green circles. The nodes are arranged in a non-linear fashion, with some at the top right and others at the bottom left. Ellipses (...) are placed near some nodes to indicate a larger, unseen network.

**Note:**  
**Slides complement the  
discussion in class**

01  
...

## Better than $n \log(n)$ ?

Lower bound for comparison-based sorting algorithms

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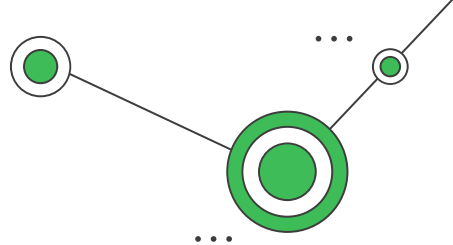
# 01

# Better than $n \log(n)$ ?

Lower bound for comparison-based  
sorting algorithms



# Remember the Sorting Problem



Let  $A = [a_0, a_1, a_2, \dots, a_{n-1}]$  be an array with  $n$  unsorted elements.

**Q:** How many permutations of those  $n$  elements do we have?

**A:**  $n!$

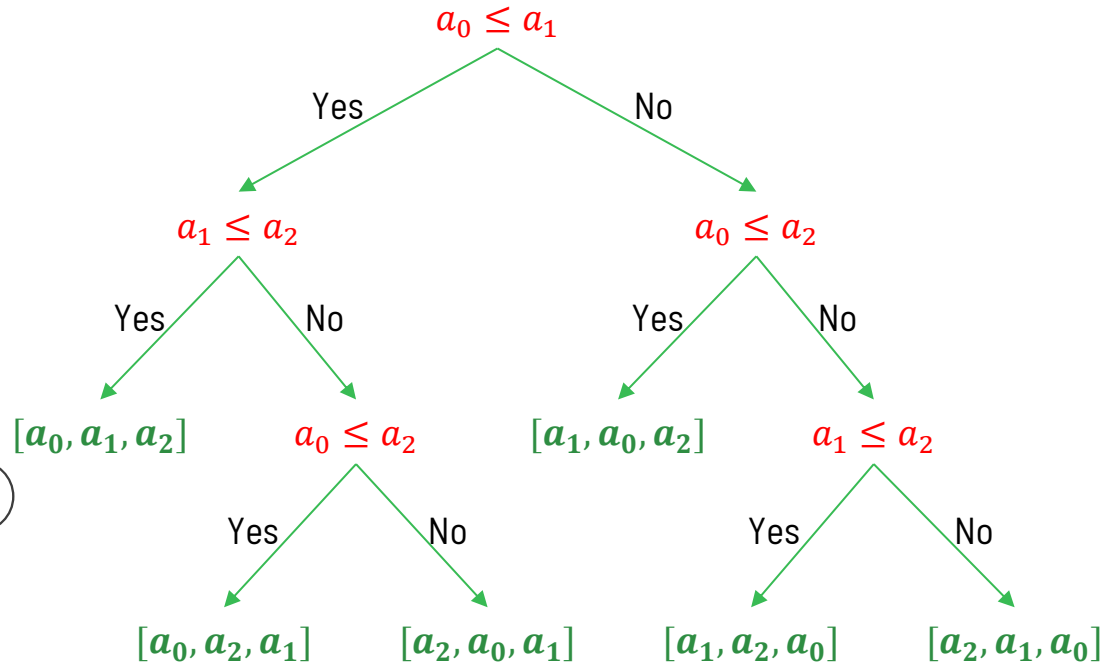
**Q:** How many of such permutations correspond to the elements listed in sorted order?

**A:** At least 1 (remember why?)

Sorting algorithms solve the following problem: ***Given an unsorted array, decide how to permute the array elements such that they are sorted.***



**Example:** Consider the array  $[a_0, a_1, a_2]$ . How would a sorting algorithm decide the sorted permutation?

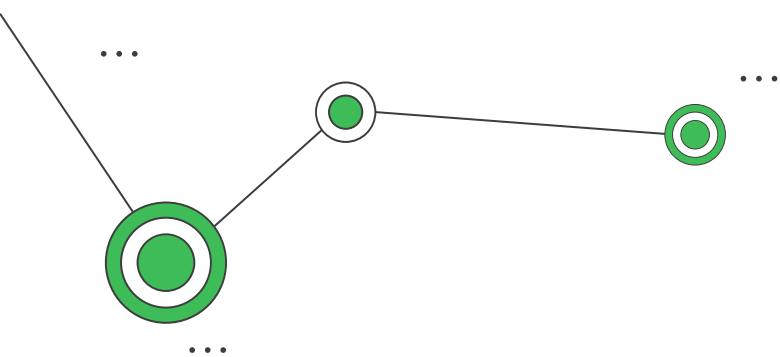


A binary tree has at most  $2^h$  leaves, where  $h$  is the height of the tree.

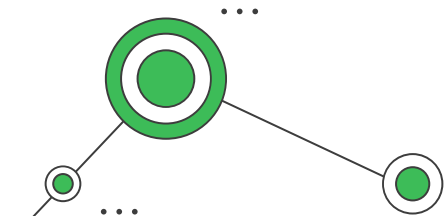
Also, the number of leaves (i.e., permutation of the input array) is  $n!$  So,

$$2^h \geq n! \\ h \geq \log_2(n!)$$

What does it imply?



# Lower Bounds for Comparison- Based Sorting



**Theorem:** Any comparison sort algorithm requires  $\Omega(n \log_2(n))$  comparisons in the worst case.

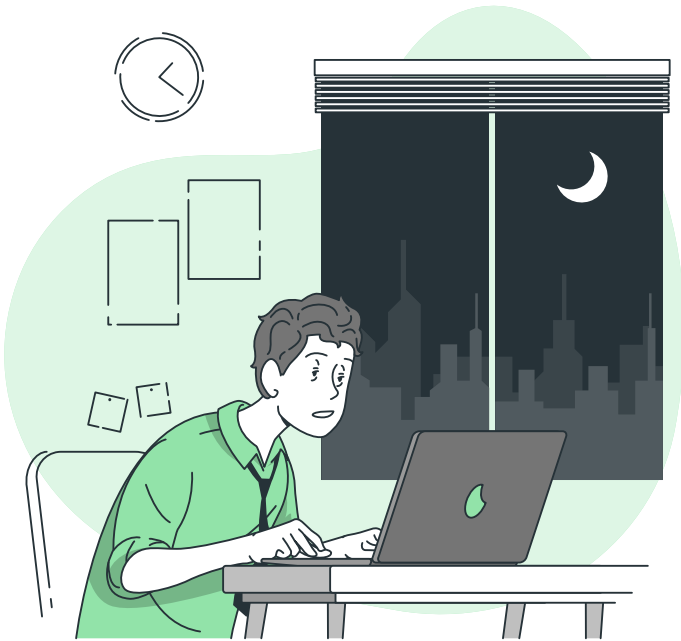
**Proof:** We know that  $h \geq \log_2(n!)$  from the decision tree model.

$$\begin{aligned}\log_2(n!) &= \log_2(1 \cdot 2 \cdot 3 \cdot \dots \cdot n) \\ &= \log_2(1) + \dots + \log_2\left(\frac{n}{2}\right) + \dots + \log_2(n-1) + \log_2(n) \\ &\geq \log_2\left(\frac{n}{2}\right) + \dots + \log_2(n-1) + \log_2(n) \\ &= \log_2\left(\frac{n}{2}\right) + \log_2\left(\frac{n}{2} + 1\right) + \dots + \log_2(n-1) + \log_2(n) \\ &\geq \log_2\left(\frac{n}{2}\right) + \log_2\left(\frac{n}{2}\right) + \dots + \log_2\left(\frac{n}{2}\right) \\ &= \frac{n}{2} \log_2(n)\end{aligned}$$

So,  $\log_2(n!) \in \Omega(n \log_2(n))$

Since  $h \geq \log_2(n!)$ , then  $h \in \Omega(n \log_2(n))$

# So, no Faster Sorting?



**Nope!**

$h \geq n \log(n)$ . So,  $h \in \Omega(n \log(n))$

**Unless...**

... we stop sorting by comparing items with each other.

# Done!

Do you have any questions?

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